



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/917,177         | 07/27/2001          | Robert E. Novak       | 4000.2.50              |

CONFIRMATION NO. 9646

FORMALITIES LETTER



\*OC000000006538714\*

Robert E. Novak  
11706 114th PL NE  
Kirkland, WA 98034

Date Mailed: 09/10/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1618.
  - \$738 for 41 total claims over 20.
  - \$880 for 11 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2458.**

***A copy of this notice MUST be returned with the reply.***

Customer Service Center

Initial Patent Examination Division (703) 308-1202

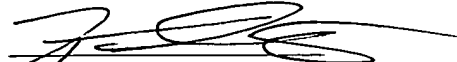
**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

10/12/2001 WABRHM1 00000008 09917177  
01 FC:101 740.00 DP  
02 FC:103 738.00 DP  
03 FC:102 924.00 DP  
04 FC:105 130.00 DP



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on October 2, 2001.

  
Attorney for Applicant(s)

PATENT  
Docket No. 4000.2.50

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert E. Novak, et al. )  
)  
Serial No.: 09/917,177 )  
)  
Filed: July 27, 2001 )  
)  
For: SYSTEM AND METHOD FOR SCREENING )  
INCOMING VIDEO COMMUNICATIONS WITHIN AN )  
INTERACTIVE TELEVISION SYSTEM )

TRANSMITTAL OF RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION (FILING DATE GRANTED)

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Attention: Box Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed September 10, 2001, enclosed please find the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- (1) a Declaration, Power of Attorney, and Petition executed by the inventors Robert E. Novak, James A. Billmaier, and Anthony F. Istvan;


- (2) a Fee Transmittal;
  - (3) a Credit Card Payment Form in the amount of \$2532 to cover the filing fee for the above-identified application and the surcharge fee pursuant to 37 C.F.R. § 1.16(e).
  - (4) a copy of the Notice to File Missing Parts of Application - Filing Date Granted;
- Please debit any additional fees, or credit any over payment, to Deposit Account No. 13-0763. A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 13-0763. A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

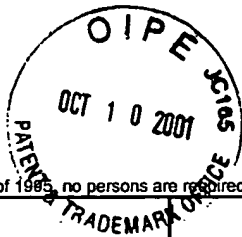
Please address all future correspondence in connection with this application to the attention of the undersigned.

Respectfully submitted,

  
Kory D. Christensen  
Reg. No. 43,548  
Attorney for Applicants

Date: October 2, 2001

MADSON & METCALF  
900 Gateway Tower West  
15 West South Temple  
Salt Lake City, Utah 84101  
Telephone: 801/537-1700



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete If Known

|                        |                 |
|------------------------|-----------------|
| Application Number     | 09/917,177      |
| Filing Date            | July 27, 2001   |
| First Named Inventor   | Robert E. Novak |
| Group Art Unit         | 2131            |
| Examiner Name          |                 |
| Attorney Docket Number | 4000.2.50       |

TOTAL AMOUNT OF PAYMENT

2532

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

13-0763

Deposit Account Name

MADSON & METCALF

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105          | 130      | 205          | 65       | Surcharge - late filing fee or oath  | 130      |
| 127          | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139          | 130      | 139          | 130      | Non-English specification  |          |
| 147          | 2520     | 147          | 2520     | For filing a request for reexamination                                     |          |
| 112          | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 1840*    | 113          | 1840*    | Requesting publication of SIR after Examiner action                        |          |
| 115          | 110      | 215          | 55       | Extension for reply within first month                                     |          |
| 116          | 400      | 216          | 200      | Extension for reply within second month                                    |          |
| 117          | 920      | 217          | 460      | Extension for reply within third month                                     |          |
| 118          | 1440     | 218          | 720      | Extension for reply within fourth month                                    |          |
| 128          | 1960     | 228          | 980      | Extension for reply within fifth month                                     |          |
| 119          | 310      | 219          | 155      | Notice of Appeal   |          |
| 120          | 310      | 220          | 155      | Filing a brief in support of an appeal                                     |          |
| 121          | 270      | 221          | 135      | Request for oral hearing   |          |
| 138          | 1510     | 138          | 1510     | Petition to institute a public use proceeding                              |          |
| 140          | 110      | 240          | 55       | Petition to revive - intentional   |          |
| 141          | 1240     | 241          | 320      | Petition to revive - unintentional   |          |
| 142          | 1240     | 242          | 620      | Utility issue fee  |          |
| 143          | 440      | 243          | 220      | Design issue fee   |          |
| 144          | 600      | 244          | 300      | Plant issue fee  |          |
| 122          | 130      | 122          | 130      | Petitions to the Commissioner  |          |
| 123          | 50       | 123          | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 126          | 240      | 126          | 240      | Submission of Information Disclosure Stmt                                  |          |
| 581          | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146          | 710      | 246          | 355      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149          | 710      | 249          | 355      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179          | 710      | 279          | 355      | Request for Continued Examination (RCE)                                    |          |
| 169          | 900      | 169          | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

## FEE CALCULATION

### 1. FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 740      | 201          | 370      | Utility filing fee     | 740      |
| 106          | 330      | 206          | 165      | Design filing fee      |          |
| 107          | 510      | 207          | 255      | Plant filing fee       |          |
| 108          | 740      | 208          | 370      | Reissue filing fee     |          |
| 114          | 160      | 214          | 80       | Provisional filing fee |          |

### SUBTOTAL (1)

740

### 2. CLAIMS

|                      |    |         | Extra |   | Fee from below |   | Fee Paid |
|----------------------|----|---------|-------|---|----------------|---|----------|
| Total Claims         | 61 | -20** = | 41    | x | 18             | = | 738      |
| Ind. Claims          | 14 | -3** =  | 11    | x | 84             | = | 924      |
| Multiple Dep. Claims |    |         | 0     | x | 140            | = | 0        |

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid |
|--------------|----------|--------------|----------|---|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |          |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                    |          |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                         |          |
| 104          | 280      | 204          | 140      | Multiple dependent claim                                  |          |
| 109          | 84       | 209          | 42       | **Reissue independent claims over original patent         |          |
| 110          | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |          |

\*\*or number previously paid, if greater; For Reissues, see above

### SUBTOTAL (2)

1662

\*Reduced by Basic Filing Fee

### SUBTOTAL (3)

130

## SUBMITTED BY

Typed or Printed Name Kory D. Christensen

Signature

Date

Oct 2, 2001

## Complete (if applicable)

Reg. Number 43,548

Deposit Account User ID